### UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

GERALD F. RICHARDS, Plaintiff	) CIVIL ACTION NO. 04-CV-40054-FDS
v.	}
SOUTHBRIDGE POWER & THERMAL, LLC, Defendant	

## PLAINTIFF'S MEMORANDUM IN SUPPORT OF HIS OPPOSITION TO DEFENDANT'S MOTION FOR SUMMARY JUDGMENT

#### I. <u>INTRODUCTION</u>

This case involves injuries the plaintiff, Gerald Richards, sustained on January 23, 2001, while working at a job site at the Southbridge Power Plant in Southbridge, Massachusetts. The plaintiff alleges that the defendant, Southbridge Power & Thermal, LLC ("Southbridge"), as the owner of the construction project, retained sufficient control over the work being performed and was therefore required to exercise reasonable care for the safety of workers at the job site, including the plaintiff. The plaintiff further contends that the defendant was negligent in its supervision and oversight of the work and is therefore liable for his injuries. See, e.g., Corsetti v. Stone, 396 Mass. 1, 483 N.E.2d 793 (1985). Because there exists a dispute as to material facts in this case, the defendant's Motion for Summary Judgment should be denied.

#### II. FACTS OF THE CASE

At the time of this incident, the plaintiff was working as a welder for Abington Constructors, a pipe installer, at a construction project at the Southbridge Power Plant in Southbridge, Massachusetts. The construction project involved an upgrade of the existing power plant and the installation of new pipes throughout the facility. The defendant, Southbridge, was the owner for purposes of the contract governing the upgrade project. (See Exhibit 1, Deposition of Thomas Shepard, p. 60). Southbridge contracted with Waldron-Abington, LLC ("Waldron-Abington") for the construction of the upgrade project. (See Exhibit C to Defendant's Motion). In addition, Southbridge entered into a contract with Aradia

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Management, LLC ("Aradia") to serve as its project manager for this construction project. (See Exhibit 1, Deposition of Thomas Shepard, p. 13). In particular, Southbridge hired a project manger named Jim Templeton to act on behalf of Southbridge to make sure "the project was executed properly, on schedule, on budget, and in accordance with the agreement." (See Exhibit 1, Deposition of Thomas Shepard, pp. 13-14). Southbridge has acknowledged that Mr. Templeton was its agent for purposes of this upgrade project. (See Exhibit 1, Deposition of Thomas Shepard, pp. 61-62). Mr. Templeton made regular reports of the progress of the work which were relayed to Thomas Shepard, one of the principals of Southbridge. (See Exhibit 1, Deposition of Thomas Shepard, pp. 10-12). Mr. Shepard was "responsible for the operations of the plant and [thru Aradia] for the project." (See Exhibit 1, Deposition of Thomas Shepard, p. 13). Mr. Shepard not only received the reports from Mr. Templeton, he also regularly attended the monthly meetings and walked the site to review the progress of the work. (See Exhibit 1, Deposition of Thomas Shepard, p. 39). Among the subjects of discussion at those monthly meetings was job safety. (See Exhibit 1, Deposition of Thomas Shepard, p. 55). Mr. Richards testified that Southbridge was frequently on the job site inspecting hanging pipes. (See Exhibit 2, Deposition of Gerald Richards, pp. 74-75).

Southbridge retained the right to monitor the work at the job site including, if Southbridge determined that the work was not being done in accordance with the contract, to specify changes. (See Exhibit 1, Deposition of Thomas Shepard, pp. 57-59). Mr. Shepard testified that, as the owner of this project, Southbridge wanted to insure that the work was done safely. (See Exhibit 1, Deposition of Thomas Shepard, p. 61). He further testified that if Southbridge saw something at the site that was not safe, Southbridge would have addressed that issue. (See Exhibit 1, Deposition of Thomas Shepard, p. 61). In particular, if Mr. Templeton observed something or was aware of something at the project that he felt was not being done in accordance with safe construction site practices, he would have had the authority, on behalf of

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Southbridge, to direct Waldron-Abington to remedy that safety issue. (See Exhibit 1, Deposition of Thomas Shepard, pp. 62-63).

The plaintiff's job involved welding pipes that were hung from the ceiling to T-braces positioned underneath the pipes. (See Exhibit 2, Deposition of Gerald Richards, pp. 69-70, 78). On the day of the accident, the plaintiff was positioned on scaffolding near a pipe that extended from one room into another room through a hole that had been created in a concrete wall. (See Exhibit 2, Deposition of Gerald Richards, pp. 72-73). The plaintiff was preparing to weld the 2000-lb. pipe onto a bracket when the pipe rolled off the bracket, pinning the plaintiff's arm and causing him to sustain a crush injury. (See Exhibit 2, Deposition of Gerald Richards, pp. 102-104, 107-108, 137-138). The pipe the plaintiff was working on had been hoisted into place before he began working on it. (See Exhibit 2, Deposition of Gerald Richards, p. 82). The pipe was hanging in the air from a chain-fall. (See Exhibit 2, Deposition of Gerald Richards, p. 77). The number of pipes that were hanging on chain-falls before they were welded created a risk of injury because of the number of people working in the area and the chance that one of those pipes could be jostled or moved by someone working on the other side of the wall. (See Exhibit 2, Deposition of Gerald Richards, pp. 104, 154-156).

### III. THE SUMMARY JUDGMENT STANDARD

Summary judgment is only appropriate in cases where there are no genuine issues of material fact. See Fed. R. Civ. P. 56C. The party moving for summary judgment has the burden to show the absence of any evidence that would establish an element of the non-moving party's case. See Kourouvacilis v. General Motors Corp., 410 Mass. 706, 575 N.E.2d 734 (1991) citing Celotex Corp. v. Catrett, 477 U.S. 317, 106 S. Ct. 2548 (1986). The standard to be applied is not whether the evidence favors one side or the other but whether, on the facts presented, a jury of reasonable people could potentially return a verdict for the plaintiff. See Flesner v. Technical Communications Corp., 410 Mass. 805, 575 N.E.2d 1107 (1991) citing Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 106 S. Ct. 2505 (1986).

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#### IV. ARGUMENT

The defendant is not entitled to summary judgment in this case because Southbridge maintained sufficient control over the work being performed by Waldon-Abington at the job site. See Cheschi v. Boston Edison Company, 39 Mass.App.Ct. 133, 654 N.E.2d 48 (1995) (owner's involvement in project may subject it to retained control theory, thereby invoking duty of reasonable care). This is established by the Restatement of Torts, which states that "one who entrusts work to an independent contractor, but who retains control of any part of the work, is subject to liability for physical harm to others for whose safety the employer owes a duty to exercise reasonable care, which is caused by his failure to exercise his control with reasonable care." Restatement of Torts (Second), §414.

Whether or not an owner retains sufficient control over an independent contractor is generally a question for the jury. *Ryan v. Rust Engineering*, 1995 Mass. Super. LEXIS 687 (1995). Here, as is established by the defendant's own testimony, Southbridge retained the right to control the work of the general contractor and to intervene if it determined that safety issues were not appropriately addressed. The fact that Southbridge contracted with another party (Aradia) to act as its agent for purposes of overseeing compliance with the work and with the terms of the contract does not obviate but, in fact, reinforces the duty owed by Southbridge to workers at the job site. Indeed, Southbridge's contract with Aradia specifically sets forth the degree of involvement Southbridge, albeit through its agent, was to retain for the project. (*See* Exhibit E to Defendant's Motion). Whether the owner has control, however minimal, and/or maintains some modest level of control over a subcontractor, is an issue for the jury. *Dilvaeris v. W.T. Rich Co, Inc.*, 424 Mass. 9, 673 N.E.2d 562 (1996).

Here, the plaintiff has established a material issue of fact as to the nature and extent of Southbridge's involvement in the construction upgrade project, including the extent to which Southbridge may be responsible for the failure to provide adequate safety measures with respect to the installation of pipes at the job site.

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#### V. <u>CONCLUSION</u>

Based upon the facts and evidence set forth herein, the plaintiff has established a triable issue of fact as to the defendant's involvement in this construction project, and duty owed to the plaintiff, that should preclude summary judgment. Accordingly, the defendant's motion must be DENIED.

Respectfully submitted, By his Attorneys,

KECHES & MALLEN, P.C.

CHARLOTTE E. GL BBO # 559117 122 Dean Street Taunton, MA 02780 (508) 822-2000

DATED: October 14, 2005

Opp-SJ

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## EXHIBIT 1

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DEPOSITION of THOMAS H. SHEPARD Taken 4/26/2005 (RICHARDS vs SOUTHBRIDGE)

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COMMONWEALTH OF MASSACHUSETTS
Trial Court of the Commonwealth
   WORCESTER, 85
C.A. No. 04-00082C
                                       Superior Court Department
Rule 30 Deposition
                             GERALD F. RICHARDS
                  SOUTHBRIDGE POWER & THERMAL, LLC.
  DEPOSITION of THOMAS H. SHEPARD taken pursuant to
  Rule 30 of the Massachusetts Rules of Civil Procedure
  on behalf of the Plaintiff before Barbara St. Jean,
CSR, RPR, a Notary Public in and for the Commonwealth of
  Massachusetts at the law offices of Keches & Mallen,
 141 Tremont Street, Boston, Massachusetts, commencing
  on Tuesday, April 26, 2005, at 10:00 o'clock a.m.,
  pursuant to Notice and agreement of parties as to the
  date and time of taking said deposition.
 APPEARANCES: Charlotte E. Glinka, Esquire
LAW OFFICES OF KECHES & MALLEN, P.C.
141 Tremont Dean Street
Boston, Massachusetts 02109;
Representing the Plaintiff.
                Keith L. Sachs, Esquire
OFFICES BOYLE, MORRISSEY & CAMPO, P.C.
695 Atlantic Avenue
Boston, Massachusetts 02111;
Representing the Defendant,
              Parisi Court Reporting
270 Hixville Road
North Dartmouth, Massachusetts 02747
(508) 984-5502
Barbara St. Jean, RPR, CSR No. 127693
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   1
                       (April 26, 2005
                                    Tuesday, 10:04 a.m.)
   3
               MS. GLINKA: Good morning,
       Mr. Shepard. My name's Charlotte Glinka. I
  4
  5
       represent the Plaintiff Gerald Richards in this
  6
       case.
  7
               THOMAS H. SHEPARD, a witness called
       on behalf of the Plaintiff, having been duly swom
  8
       оп oath, deposes and says as follows:
  9
 10
               DIRECT EXAMINATION
    Q (By Ms. Glinka) Would you please state your full
11
12
13
    A Thomas Harold Shepard.
14
    Q And, Mr. Shepard, you are here today in your
15
      capacity as the designee or the individual who's
      been identified as the person with the most
16
17
      knowledge regarding the circumstances surrounding
      this incident involving Mr. Richards.
18
19 A That's correct.
20 Q Okay. And do you recall seeing a Deposition Notice
21
      for this deposition? I'll show you a copy.
22 A Yes. Just recently.
   Q Okay. And are you the person who's been designated
     to testify about the subject matters that are
             Parisi Court Reporting (608) 984-5502
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Q Okay. What was the work that was being done at that 2 time? 3 A It was a repowering of the facility. We had an EPC contractor, Waldron-Abington, that did all the work; but we were just adding the equipment I just described. Q All right. So Southbridge Power and Thermal owns as you've described the equipment that was put in as part of that renovation project, if you will. 10 A Yes. 11 Q Okay. And other than that, do they own any other 12 parts of the facility? 13 A No. 14 Q And who now operates that facility? Is it still being operated by Southbridge Power and Thermal? 16 16 A Yes. 17 Q What was -- and I have the Lease Agreement, but basically what was the agreement between Southbridge 19 Associates Limited Partnership and Southbridge Power 20 and Thermal? 21 A The agreement was -- well, then just to clarify, that original lease agreement was not signed by -well, it was signed prior to my company owning the facility. The facility was originally - the Parisi Court Reporting (508) 984-5502

Holdings changed their name in I believe January of 2001 to Nations Energy Holdings. And Nations Énergy Holdings owned Southbridge Power and Thermal until 3 the end of December of 2004. Thermagen purchased it 5 December 27th, 2004. Q Okay. But at the time of the events that took place 6 in this case, the Southbridge Power and Thermal was owned by Nations Energy? 9 A Correct. Q Okay. And that was approximately you say the 10 summer of 2000? 11 12 A It was the summer of 2000 when they purchased it. 13 MS. GLINKA: Well, I'm just going to 14 have these --16 Are these my copies, Mr. Sachs? 16 MR. SACHS: Yes. 17 MS. GLINKA: I'm just going to have 18 this whole folder marked as the Exhibit, the lease 19 agreements. 20 (Whereupon the above-described folder was then marked as Plaintiff's Exhibit No. 3) Q Now, did you hold a position with Nations Energy 22 23 before Thermagen? 24 A Yes. Parisi Court Reporting (508) 984-5502

PAGE 10 original lease -- or Southbridge Power and Thermal was owned by a company called Yesco. We purchased it after this first lease was signed -- was -- was 4 executed. 5 But that lease basically covered two 6 pieces. One was the operation of the facility 7 before the upgrade; and then the other was the operation of the facility after the upgrade. So 8 9 prior to the upgrade, Southbridge Power and Thermal 10 operated the facility, but on a past-due basis, just at cost. And they were paid a fee on top of 11 12 that. 13 Q After the upgrade? 14 A Where we were requiring to put in certain equipment 15 which I already described, then we operated it more 16 like a utility in that we provided the products at a unit cost rather than the straight past-due 17 18 operating cost. 19 Q And so that prior to -- when was the -- when did Thermagen take over Southbridge Power and Thermal? 21 A Well, in I believe it was -- it was the summer. I don't remember the exact month, but June or July of 22 2000, Acetex Energy purchased Southbridge from 23 24 Yesco, Acetex Energy Holdings. Acetex Energy Parisi Court Reporting (508) 984-5502

12 1 Q And what was your position with Nations Energy? 2 A At the time when we purchased the facility, I was Vice-President of Operations. 4 Q And how long did you have that position? A I had that position for about a year. And then I 5 was Senior Vice-President. And then in October of 2003, I became President of Nations Energy. 7 Q Okay. And you held that position until the company 9 changed over? 10 A Yes. 11 Q And that was in --12 A We had a management buy-out in December. 13 Q That was December of 2004, just last year? 14 A That's correct. 15 Q Okay. So that as of -- again, going back to the time period of this case, in January, 2001, you were 16 17 V. P. of Operations for Nations Energy? 18 19 Q Did you have any direct involvement in preparing 20 any of the contracts for the upgrade that was done 21 at the power plant? 22 A Yes. Idid. They -- I started with Nations Energy 23 in March of 2000. The negotiations were already 24 underway when I joined; but -- and the primary Parisi Court Reporting (608) 984-6602

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13 negotiation of the agreement, the EPC agreement with Waldron-Abington, was being handled by two other 3 people; but I did get involved from an operating

standpoint with the negotiation in the agreement. 5 Q Okay. Did you have any on-site responsibilities at Southbridge Power and Thermal during the process of that upgrade?

8 A Yes. I was responsible for the operations of the plant and then indirectly responsible for the

10

11 Q When you say indirectly, what do you mean?

12 A We had a Contract Project Manager.

13 Q Who was that?

14 A It was actually contracted to Aradia.

15 Q A-R-A-D-I-A?

16 A I think that's how it's spelled. A gentleman named 17 Jim Templeton was the Project Manager.

18 Q And they -- I'm sorry.

19 A And then he reported to Cathy Ramsey. He was an engineer who was actually a contractor, another

21 contractor employee of Nations Energy. Those two

had the primary responsibility for the facility; but 22

23 Cathy reported to me.

24 Q I'm sorry. Her last name was? Parisi Court Reporting (608) 984-6502 PAGE 15 .

A I have a B.S. in Mechanical Engineering from the

University of Oklahoma. 3

Q And when did you obtain that degree? 4 A Nineteen seventy-six.

Q Do you have any further education beyond college? 5

6 A No.

Q Do you have any professional licenses? 7

8 A No.

Q What did you do for work after you completed your 9 10 studies?

11 A I went to work for Ingersall Rand Compression Services as a field representative, worked in the 12

13 field operating air and gas compressors for natural

14 gas compression and oil drilling, worked my way up

15 until I was Regional Manager.

16 Q What region was that? 17 A Rocky Mountain region. I had Colorado West. Left

18 there in 1980, went to work for a company called

19 Production Operators. Started off in sales. Then I 20

was a pipeline engineer; became V. P. of Enhanced 21 Oil Recovery and then became V. P. of Operations and

22 Executive V. P. of the company. Was there for 14

23 years.

24 Q So that takes us to about 1994? Parisi Court Reporting (508) 984-5502

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1 A Ramsey.

2 Q And was Mr. Templeton employed by Aradia? Or was he employed by Nations Energy?

4 A Aradia. He owns -- he is Aradia. He's the sole board.

Q And when you say Project Manager, what was his 6 function or role with respect to the project?

8 A He was responsible for making sure the project was executed properly, on schedule, on budget, and in 10 accordance with the agreement. His - he was on

site once a week and then attended monthly meetings.

12 Q And did you meet periodically with Mr. Templeton to review the progress of the work?

14 A His report of any information was passed on to Cathy and then usually passed on to me. But I was at the 15

site about once a month, and usually when Jim was 16 17 there.

18 Q So you'd have occasion to meet with him on those --

19 A Yes.

20 Q -- occasions? But essentially, the reporting went

from Mr. Templeton through Ms. Ramsey to you? 21 22 A Correct.

23 Q Okay. If you could tell me briefly your educational 24 background beginning with college.

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PAGE 14 A Right.

2 Q And then what did you do after that?

3 A Went to work for Air Liquide. It's a French

company. Liquid with an E on the end. Was Director 5

16

of On-Site Plans. Operated all of their domestic what they call tonnage plants, but air-separation

plants. Was also --Q Was that in the U.S.? 8

A In the U.S. U.S. and Trinidad. Worked for them 9

for three years. Went to work for a company called 10 MG Industries in Philadelphia. I was V. P. of Latin 11

12 America. I had business responsibilities for

13 Mexico, Central America, and the Caribbean, and

operations and engineering responsibilities for all 14 15 of Latin America.

16 Q And how long were you with them?

17 A Until March of 2000.

18 Q And that's when you went to Nations Energy?

19 A Correct.

20 Q So you are familiar then with the contracts that 21 pertain to this project, I take it.

22

23 Q And have you had occasion to review those recently 24

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a representative that usually came to the monthly 2 meetings who was technically responsible for SALLC. His name was John Sourian. Q Spell that, please. 5 A I think it was S-O-U-R -- S-O-U-R-I-A-N. Like sour. Sour -- Sour I-A-N. And so he came to the meetings to - to coordinate between -- you know, the interface between what we were -- we were providing 8 9 to the hotel and conference center and with their 10 construction activities. He was construction manager for SALLC for the hotel and conference 11 center. And then occasionally Ron Nikeno would 12 come. He represented SALP and the tenants. 14 Q But in terms of any contractual responsibilities for the upgrade project, those entities did not have any 15 16 contractual --17 A No. 18 Q -- responsibility? 19 A No. 20 Q Okay. I'll show you what I believe is the contract between Southbridge, and it says EPC contractor. That's the June, 2000, contract? 23 A Uh-huh. 24 Q Yes? Parisi Court Reporting (508) 984-5502

using Cathy; and because we were just finishing up 2 the project. And I worked directly with Jim and Waldron from that point on. Q These monthly meetings, were those - did those 5 take place at the plant? 6 A Yes. Q And when you would attend those monthly meetings, 7 would you do a walk-through of the facility to see 8 what the progress of the work was? 10 A Yes. 11 Q Do you know whether any photographs were taken of the progress of the work? 12 13 A I believe that Jim Templeton took some during that 14 time. 15 Q Do you know whether there are any plans or blueprints or drawings or diagrams that show what 16 work was to be done? 17 18 A Yes. There were. 19 Q And who would have possession of those? 20 A We would have a copy at the plant. 21 Q Would those plans - what are those? Blueprints? 22 Drawings? 23 A They're drawings. 24 Q Okay. Would those drawings show where the pipe work Parisi Court Reporting (508) 984-5502

PAGE 38 . 38 1 A Yes. 2 Q And is this the contract that existed for the upgrade project? 4 A That's correct. 5 MS. GLINKA: Okay. Let me just mark 6 that as the next exhibit. 7 (Whereupon the above-described document was then marked as 8 Plaintiff's Exhibit No. 6) 9 Q And the EPC contractor as we've discussed earlier was Waldron-Abington, LLC? 10 11 A Yes. 12 Q That's who's referred to as the EPC contractor? 13 A Correct. 14 Q All right. You mentioned earlier John Sweet was the Project Manager for Waldron Engineering. Did you have any direct dealings with Mr. Sweet during the 16 course of this upgrade? 17 18 A Yes. 19 Q And what were the -- what was the interface between you and Mr. Sweet? 21 A I would - I would attend, not every month but reasonably often, the monthly meetings. And John

was at those meetings. And then about in, say, late

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spring of 2001, prior to the start-up, we stopped

22

23

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PAGE 40 \_\_ 40 was to be done? A They would show -- what we have are as-built 3 drawings that show the piping in place. 4 Q They showed it in place? 5 A Yes. Q And were those meant as guides for the work or --6 A No. What -- we did not get construction drawings. What we got were as-builts, which are here's --9 here's what the facility looks like now. That it's, complete. 11 Q And who prepared those as-built drawings? 12 A Waldron-Abington. 13 Q And would those drawings still be in Nations Energy's possession? 15 A At the site, yes. 16 Q At the site? 17 A Yes. 18 Q At Southbridge? 19 A Correct. 20 Q Okay. Do you have copies of those in Chicago? 21 A Excuse me. No. 22 Q But you could make those available? 23 A Yes. 24 Q All right. I'll make a request to counsel.

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It simply has the Action Item lists me as one of the items on the action list. Doesn't necessarily mean 3 I was at the meeting; so I don't remember. 4 MS. GLINKA: All right. We'll just 5 mark that as an exhibit. (Whereupon the above-described document was then marked as Plaintiff's Exhibit No. 7) Q And just to beat a dead horse here, you don't 8 recall the incident involving Mr. Richards being 10 discussed at the February meeting? 11 A No. I do not. 12 Q Are you familiar with the term come-alongs? 13 A Yes. 14 Q And what are come-alongs? 16 A They are cable -- they usually refer to a cable hoist; but can also be a chain hoist that's just 16 17 hand operated. 18 Q And do you know whether the pipes being put in as 19 part of this upgrade were installed by using the come-alongs? 21 A I don't know. 22 Q I'm sorry? 23 A I do not know. 24 Q Okay. You didn't see any of that part of the work Parisi Court Reporting (508) 984-5502

1 Q So would a pipe rack be considered a pipe hanger? 2 A I don't think so. 3 Q Okay. Do you know whether pipe hangers were used as part of the installation of the piping at the б Southbridge plant? 6 A Idon't know. Q As part of the monthly meetings, was the subject of job safety discussed as one of those topics? 8 9 A Yes. 10 Q Do you recall in any of the meetings that you 11 attended the subject came up regarding the safety of 12 installation of these pipes? 13 A No. 14 Q Under that contract that Southbridge had with 15 Waldron or the EPC contractor, is it fair to say 16 that Southbridge retained the right to review all 17 the specifications for construction of the project? 18 MR. SACHS: Objection. If you want 19 to show him the contract, that's fine. 20 Q Do you know? A We reserved the right to review drawings, but not 21 22 construction techniques. So we would not have had 23 the right to -- to review how they actually put the

piping in, only the drawings and the routing of the

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PAGE 54 54 being done? 2 A No. 3 Q And is that term come-along, is that the same as a chain fall? 5 A I don't think they are. I mean, some people could refer to a chain fall as a come-along. But to me, 7 they're a little different. 8 Q What's your understanding of what a chain fall is? 9 A A chain fall is a chain hoist, usually hanging. A 10 come-along -- a chain fall is actuated by pulling on 11 a chain, and it cranks the hoist. A come-along is a 12 hand-cranked hoist. But to me -- different people 13 may refer to them differently. 14 Q Do you know whether chain falls were used to assist in installing the pipes at the plant? 16 A I do not. 17 Q And are you familiar with the term pipe hangers? 18 A Yes. 19 Q What are pipe hangers? 20 A Just a way to support piping from the -- from the 21 ceiling. At least -- I believe just to support --22 as opposed to - either from - they hang them from

a rack or from a wall or from a ceiling, rather than

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have them resting on a rack.

23

piping to begin with. Q But - well, strike it. Southbridge retained the 2 right to monitor all the work that was done at the project. Correct? 5 MR. SACHS: Objection, I mean, at this point, you're gonna have to show him the document. I mean, if you want him to testify from 8 his memory, that's one thing. But the document's 9 gonna be the document, so --10 MS. GLINKA: Right. And I'm just 11 asking based on his recollection of the contract. 12 MR. SACHS: That's fine. He can 13 testify to his recollection. But ultimately, 14 whatever the contract says is what it says. 15 MS. GLINKA: Right. 16 MR. SACHS: So to the extent you 17 have any memory, that's fine. A We were -- we were always allowed access to the 18 19 20 Q You were allowed access, but also the right to monitor the work that was being done; correct? 22 A We had the right to monitor. I don't know that we certainly had the right to change it. 24 Q Well, if you --

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1 A In other words, if we walked in there and said we don't like the way you're doing that, and they -3 they could come back and say, well, we're gonna do it this way until you wanna pay for it to do it differently. That could happen. 6 Q But I guess as a general statement, Southbridge had the right to monitor the work that was being done. 8 A Yeah. I mean, I'm not tryna be cute. It's just that - it's - it's - we could always watch what they were doing. We could not necessarily, if I remember correctly, have the right to tell them to 11 12 do it differently. 13 Q Well, could you though if Southbridge felt that whatever was being done was not being done in 15 accordance with the specifications that Southbridge had established, that the work could be --17 A Oh, absolutely. 18 Q -- changed? 19 A If it wasn't being done in accordance with the specs, absolutely. 21 Q Okay. And the contract with Waldron gave Southbridge the right to assess the progress of the work at the job site; correct? 24 A Correct. Parisi Court Reporting (508) 984-5502

the project; correct? 2 A Yes. 3 Q Including monitoring quality control at the job 4 6 A That's right. Q - and monitoring the project schedule and progress 6 8 A Correct. 9 Q - and inspecting the work, equipment, materials, construction service, and workmanship at the job 10 11 12 A Correct. 13 Q And it included maintaining a site presence to coordinate the work being performed at the job 14 15 site. Correct? 16 A We had the right to maintain a site presence. Yes. 17 Q And you did that? 18 MR. SACHS: Objection. 19 Q Did you have a site presence? 20 A Not 24 hours a day, but yes. 21 Q I understand. But you were involved on a regular 22 basis --23 A Yes.

24 Q - with overseeing the work that was being done.

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PAGE 56 58 2 A And I don't know if it was specifically that in the contract, but yes. 4 Q Okay. The contract allowed Southbridge the right to inspect the work and any equipment, materials, 6 construction service, or workmanship at the job 7 8 MR. SACHS: I'm just gonna continue 9 the objection on that. 10 A Yeah. I mean, I would suspect so; but I don't remember for sure the details of the contract. 12 Q Okay. Let me just -- okay. Referring to the document we've marked Exhibit 6, which is the 13 contract, and in particular Page 13 where it says 14 owners right to review design at the top, and then 15 owners right to monitor work --17 A Uh-huh. 18 Q -- do you see those sections? 19 A Yes, Ido. 20 Q Okay. And those sections established -- set forth Southbridge's right to review the specifications for 21 the construction of the project. Correct? 23 A Correct. 24 Q All right. And the right to monitor work done at

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60 1 A Yes. Q And as the -- well, I want to be clear about the terms we're using. Would you consider or do you consider Southbridge to be the owner for purposes of 5 this contract? A The owner of the -- of the activities that were being produced, they were being performed under 7 8 this, yes. 9 Q Okay. So when this document refers to owner, it's referring to Southbridge as the owner of this upgrade project. 11 12 A Correct, 13 Q Okay. And as the owner of this upgrade project, Southbridge had an obligation to be sure that work 14 at the plant was being done in accordance with 15 safety construction site practices. Correct? 16 17 MR. SACHS: Objection to the form. 18 It's a legal question. So if you have an understanding, go ahead. 20 A I-Idon't know. I mean -- I don't know what our obligation was. Waldron and Abington were responsible for the safety. They were responsible for providing a safety program and in doing the work safely. So --

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DEPOSITION of THOMAS H. SHEPARD Taken 4/26/2005 (RICHARDS vs SOUTHBRIDGE)

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1 Q Right. And Southbridge as the owner of the upgrade
   2
        project wanted to insure that the work was done
   3
        safely.
   4 A Absolutely.
   5 Q Okay.
  6 A And if we saw something that was not safe, we would
       have included that.
    Q Okay. And in terms of overall responsibility as the
  8
       owner of this project, Southbridge had an obligation
 10
       to be sure that the work was done safely.
 11
               MR. SACHS: Again, objection. Legal
 12
       question.
 13
               MS. GLINKA: You can answer.
14 A I mean, part of -- part of Jim Templeton's
      responsibility was to make sure that work was done
16
      in accordance with the agreement. And also if he
16
      saw something that was unsafe, he would have pointed
17
      it out. But we weren't there 24 hours a day
18
19
      monitoring to make sure they did work safely.
20 Q And can we agree that Mr. Templeton in his role as
      Project Manager for Aradia was an agent of
21
22
      Southbridge for purposes of this upgrade project?
              MR. SACHS: Again, objection. From
23
24
      the legal standpoint, I mean, whatever your
             Parisi Court Reporting (508) 984-6602
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Q Okay. But Mr. Templeton then if he saw something
        should be done differently could direct his comments
   3
        directly to Waldron or to anyone else there at the
   4
       job who he felt needed to take care of that.
     A Yes. That doesn't necessarily mean they would have
       to do it differently; but he would point it out,
   6
     Q Okay. And did Mr. Templeton attend the regular
       safety meetings at the job?
 10
    A Idon't know.
 11
               MR. SACHS: Can I get a coffee
 12
       refill?
 13
               MS. GLINKA: Sure.
 14
               (Off the Record)
 15
               MS. GLINKA: Ókay. Back on. Let me
16
      just go through some more of these documents here.
    Q This one is an Amendment Agreement apparently dated
17
      November, 2000. That was an amendment to the
18
19
      original contract.
20
   A Yes.
21
              MS. GLINKA: Let me just mark that
22
      as an exhibit.
23
              (Whereupon the above-described
                      document was then marked as
24
              Plaintiff's Exhibit No. 8)
             Parisi Court Reporting (508) 984-5502
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PAGE 62 62 understanding is, fine. 2 THE WITNESS: Yes. 3 Q Okay. In other words, he was the conduit, if you will to use an engineering term, between what was 5 going on at the site and what was - and reporting 6 it back to Southbridge. 7 A Correct. Q Okay. And if Mr. Templeton observed something or 8 was aware of something at the project that he felt 10 was not being done in accordance with safety 11 construction site practices, he would have reported that back to Southbridge; correct? 12 13 A Not necessarily. He may have. He most likely would 14 have just grabbed the -- whoever the appropriate person on the site was, whether it was John Sweet or 15 Jeremy Smith or one of those, and say, hey, you 16 know, I don't think this is being done correctly or 17 safely. You outta do it differently. That may have 19 happened. But, again, he was only on site one day a 20 week. 21 Q Was there anyone who -- with any reporting responsibility at Southbridge who was there more 23 than one day a week? 24 A Not related to the project. Parisi Court Reporting (508) 984-5502

Q And this appears to be the agreement retaining to Mr. Templeton as the Project Manager for the job. 2 3 A Correct. (Whereupon the above-described document was then marked as Plaintiff's Exhibit No. 9) Q This appears to be the Accident Prevention Plan of 7 Abington. Is that right? 8 A Correct. 9 Q Okay. Do you know --10 MS. GLINKA: Let me just mark that as 11 an exhibit. 12 (Whereupon the above-described document was then marked as 13 Plaintiff's Exhibit No. 10) 14 Q Do you know whether Waldron-Abington, LLC, had its own safety plan or accident prevention plan for this 15 16 17 A I do not know. 18 Q Okay. Would they have been required to do so under 19 the terms of the contract? 20 A They would not -- I don't know. I really don't 21 remember. I suspect that they just used 22 Abington's --23 Q Okay. 24 A -- plan; but I don't know for sure. Parisi Court Reporting (508) 984-5502

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73 MS. GLINKA: If you want to give me 2 a clean copy, we'll just change it. 3 MR. SACHS: All right. That's fine. Sorry about that. MS. GLINKA: That's all right. 6 Q I take it you have no understanding as to the nature of Mr. Richards injury other than anything you may 8 have learned --9 A That's correct. 10 Q -- in the course of this litigation. 11 A That's correct. 12 Q Do you know whether the pipes that were being installed were -- they were using T braces to secure 13 16 A I'm still - I still am not sure which pipe he was working on when it happened. And I'm not familiar with that area. I'm not - off of top of my head, 17 I'm not completely -- I don't remember exactly what 18 the supports were like. 19 20 Q So it's possible some of them were secured with 21 T braces? 22 A Possible. I don't know. 23 Q Okay. Have you been back to the facility since January of 2001? Parisi Court Reporting (508) 984-5502

anything along those lines, and ultimately responsible for the operation of the facility, too. Q And does this trip here today correspond with a trip you were planning to make to the facility anyway? 5 A No. 6 Q No. Sorry about that, 7 A Yeah. 8 Q And when would your next visit be scheduled for? 9 A I don't have no schedules yet. 10 Q Okay. 11 A It's usually -- it just depends. There's not a --12 it's not a regularly-scheduled meeting. But if I 13 have -- it's usually when I have things to talk to 14 the customer about that I do. 16 Q Are you planning at this point to come back in May 16 for a visit? 17 A I would -- it's very likely I'll be here in May; 18 but I have no trip planned. 19 Q Okay. And if we wanted to arrange for a site visit, we could do that? 21 A Yes. Q Okay. Is it your understanding that to the extent 23 Mr. Richards may have sustained an injury at this 24 job site in January of 2001, that the responsibility

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74 1 A Yes. 2 Q Obviously, you were there for the monthly meetings. But since the project was completed, have you been back there on a regular basis? 5 A Yes. Q And you still go back every once in a while? 6 7 A Correct. 8 Q How often do you go there now? 9 A About once a month. Maybe a little less. 10 Q And what is your precise role with respect to that 11 facility at this point? 12 A I handle everything -- basically, I have complete responsibility for the -- complete responsibilities 13 14 for the -- for the facility. On a day-to-day operating basis, the Plant Manager's responsible for 15 16 it. He actually reports to the Plant Manager of the 17 plant that we have in Ohio now that was not involved 18 at all during the construction time. So they handle 19 the day-to-day actual operation. 20 But I deal -- I'm the sole interface 21 with the customer. So I deal -- I do -- I basically perform the asset management role. So I do -- I'm 22 23 responsible for the billing, meeting with the 24 customer, contract issues with the customer, Parisi Court Reporting (508) 984-5502

F. ....

76 for any alleged defects or hazardous conditions would fall under the Waldron-Abington, LLC, purview? 2 3 A Yes. MR. SACHS: Objection to the form. 6 Go ahead. 6 Q And why is that? A They -- we -- we were contracting out to Waldron-Abington basically full responsibility for this 9 project from construction - engineering, 10 construction procurement, and safety standpoint. 11 Q Have there been any lawsuits other than this one associated with this project, the upgrade project? 13 A No. There is none. 14 MR. SACHS: By that, you mean 16 contractual or anything? 16 MS. GLINKA: Aside from contractual. 17 MR. SACHS: Okay. Q Any other personal injury claims or work-injury-18 related claims that you're aware of? 19 20 A Not that I'm aware of. 21 MS. GLINKA: Well, this is either 22 good news or bad news, but I'm done. Thank you. 23 (All Exhibits Retained By Counsel) 24 (Time Noted at 11:54 a.m.) Parisi Court Reporting (608) 984-6502

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# EXHIBIT 2

## UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

GERALD F. RICHARDS

\* Civil Action No.

-v-

04-CV-40054-FDS

SOUTHBRIDGE POWER & THERMAL, LLC

\* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \*

COPY

#### DEPOSITION OF GERALD RICHARDS

Deposition taken at the Offices of Coughlin, Rainboth, Murphy & Lown, 439 Middle Street, Portsmouth, New Hampshire, on Friday, April 29, 2005, commencing at 10:00 a.m.

Court Reporter:

Elaine J. Ritsema, CCR, RPR

CCR No. 92 (RSA 331-B)

#### Case 4:04-cv-40054-FDS Document 26-4 Eiled 10/17/2005 Page 3 of 12 Page 66 Page 68 1 Q. Do you know why that is? Okay. And what type of work were you 1 2 Because of the thickness of the pipe and doing at the job site? I know it was welding, but in 2 3 what it's made out of. They call it a schedule 40 terms of -- I mean, is it the type of work that you've 3 4 pipe. always done, or was it something different? 4 5 Okay. So in terms of the particular job Type of work that I've always done, but 5 site, did you receive any training from Abington every day was different. Some days I never even put 6 7 specifically related to this job site? on a hood. I'd end up doing -- I'd fab up something 7 8 We had safety meetings weekly, you know, 8 down to the fab shop or. . . that would -- you know, lot of it was common sense, 9 9 Okay. And where was the fabrication 10 you know, to use your head before doing anything or 10 shop? 11 check out the job or as far as any schooling or any 11 Was down by the trailers. Down by the 12 kind of explaining, you know, there was very little of contractor's trailer. We had our own little tent set 12 13 that. 13 up for a fab shop. 14 Q. Okay. And the safety meeting that you 14 And where was the fab shop in comparison were talking about occurred on a weekly basis? 15 to the building where you were doing work? 15 16 A. Yes. 16 In the back parking lot. 17 Q. Okay. And were those -- for lack of a Okay. So within 50 yards of the 17 Q. 18 better term -- hosted by someone from Abington? 18 building? 19 Yes. Usually by the supervisor. 19 Within a couple - yeah. Within A. Okay. Who was the Abington supervisor 20 Q. 20 probably 400 feet, 500 feet. 21 at the time? Okay. And when you were working in the 21 22 Tim -- I can't think of his last name. fab shop, what were you doing down there mostly? 22 23 I might have it maybe. 23 Could be just moving stuff around with 24 Okay. the fork truck or bringing stuff in the yard into the 24 Page 67 Page 69 1 Used to have an Abington card that had fab shop to be worked on or to be opened up, like all the supervisors' names. I'm not sure if I still boxes of pumps or whatever. have it or not. 3 Q. And in terms of the time that you were 3 4 (Pause) there for however many months you were working there, 4 5 (Recess taken) was your time split equally between welding duties and BY MR. SACHS: 6 the duties that you were just describing, or did you 7 Mr. Richards, you said you remember the do more welding than not? 7 8 supervisor's name was who? 8 A. Probably split up 50/50 I'd say. 9 Tim Davison. A. 9 Okay. And can you briefly - and we'll 10 Q. Davison? get into it in detail, but I'm trying to get a bigger 10 11 A. Davison. picture of what was going on. At the time of the 12 D-A-V-I-S-O-N? Q. accident, can you tell me just briefly, you don't have 13 A. Yes. to describe the accident at this point, but tell me 13 14 Okay. All right. 14 what you were doing at that time? And the meetings, the weekly meetings 15 15 When it happened? A. that you were talking about, were they just -- and I 16 16 Q. Yes. think you may have already testified to it -- but just 17 17 A. Just beginning to weld. general safety issues, things like that? 18 Okay. And in terms of where you were 18 Q. Basically. And if there was going to be 19 welding, where were you? You were in the building? 19 20 some specific job coming up, you'd want to go over it, 20 Yes. A. 21 you know, kind of like step-by-step so nobody would 21 Okay. And where in the building were Q. get messed up and do something out of the ordinary to 22 you? 23 make it unsafe or something. But basically it was all 23 A. Upstairs, up on a staging. about safety. 24 Okay. And you were welding pipes at the

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5

10

1 time? 2 A. Yes. I was welding pipe, Ts underneath 3 the pipe.

Q. In terms of working up on the staging that you're talking about, how long, that is number of days, had you been working in that area at the time?

Oh, I'd be in and out of that specific area. Like one day I'd be in there, another day I'd be out in the big room where the generators were, the next day I'd be back in there or I could be in the back alleyway working. It was -- it was thrown around different jobs.

Q. Okay. All right.

Can you describe to me - insofar as the 15 building is concerned, can you describe to me what that building was like in terms of, you know, the rooms and where they were situated?

Well, the specific room where I got 18 hurt, that is where the compressors were, I believe. 19 20

Q. Okav.

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21 It was pretty tight quarters in there to 22 do that because you had two humongous compressors in there; and to do any type of work in there, it was 23

clustered, you know.

Southbridge Power. People that worked for Southbridge Power themselves probably had their -- that's where

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they set their boxes of tools up and stuff like that. 3 4

Q. Okay.

A. It's a secluded area.

6 In terms of the room where the Q. compressors were or are, where you said the accident actually occurred, do you recall what else was in that 9 room in terms of materials, equipment?

A. Yeah. There was pipes on the floor, there was a bunch of other little pumps set off depends which way you're looking at the compressors. 12 Bunch of little pumps and motors off to the left or 13 right which was -- had a bunch of valves hooked on it, 14 pipes that run up, up in the ceilings, too. There was 16 quite an area, probably about the size of this room, that had just pumps and motors. I mean, little motors 17

18 and pumps and valves that were all on a little

19 concrete slab.

20 Q. All right. And just for the record, 21 when you say the size of this room, it's 15 by 15, 22 somewhere around there?

23 Α. Yeah.

24 All right. In terms of where you were Q.

Page 71

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And so there was one room with the Q. compressors. Were there any other rooms in that 2 3 building? 4

A. Yeah. There was a big room out front with all the - with all the generators that they were putting in. There were supposed to be either four or five new generators, diesel generators, put in.

8 And were those two rooms separated by a 9 wall?

> A. Yes.

Okay. Any other rooms that you recall 11 12 in that building?

Yeah. If you went through the generator and then went through this little doorway that led you 14 into the compressor room, walk through the compressor room, if you took another right, there'd be another 16 17 doorway with -- that was just -- it's where a lot of main pipes were run into, and there was - it was like a back entrance to some meter reading and things like that.

21 Q. Okay.

22 That would go to the generators up Α. 23 front. It was like an alleyway. There was gain boxes set up in there, too. That must have been like from

Page 73 actually working on the pipes at the time of the

accident, were those pipes -- where were those pipes 3 coming from? That is did those pipes originate in

that room with the compressors, or did they come in 4 5 from somewhere else?

They came in from the other room where all the generators were.

Q. All right. And how did those pipes pass through the room where the generators were into the room where the compressors were?

11 They knocked out part of that concrete A. 12 wall.

13 Q. Okay.

14 A. Knocked a hole in the wall.

15 Were you there at the time they knocked Q. 16 the hole in the wall?

No. I wasn't.

18 Q. Okay. And with regard to the pipes that were coming in from the generator room, did you 19 install any of those pipes? 20

21 Α. No. I didn't.

> Q. Do you know who installed those pipes?

23 Lot of times we would come back from the Α. 24 morning and there'd be more pipes put in.

Case 4:04-cv-40054-FDS Document 26-4 Filed 10/17/2005 Page 5 of 12 Page 74 Page 76 1 Q. Night shift was doing it? believe Abington was putting in pipe near the end, do Night shift. Yeah. Abington didn't 2 you recall what that time frame was? have a lot of the -- lot of the work of putting up A. Well, like I said, putting in pipe. I 3 pipes up in the air. Near the end of it we were say they were just doing the hook-ups, basically. 4 flying pipes up, but there was a lot of pipes going up 5 Pipe was already been flown up in there. 5 there that wasn't done from Abington. 6 Q. Okay. Do you know who would have done that? 7 7 But we were joining like the ends of the Α. 8 I thought it was Southbridge Power. A. pipe. Like I was welding on that end. After I got 9 Q. Okay. done that, eventually would hook to another pipe that 10 But I'm not sure. There was another Α, was already coming to it that was hanging - we'll say company there, too; and I don't recall the name. At 11 could be two feet, could be ten feet away from the 12 this time I don't. pipe that I was working on. And then after Q. When you say you thought it was 13 everything's secure, they do a measurement and they 13 14 Southbridge, who do you think Southbridge Power was, fill that gap with another piece of pipe and weld both 14 though, that they were putting up pipe? 15 15 sides. Well, they were the owner of the place 16 Okay. So the pipe that you were working 16 anyways. They always had engineers around. Always 17 on at the time of the incident, how was it suspended 17 had engineers around, walking around, because they had 18 up? I mean, I'm assuming it's up high. How was it their own certain color hats so you could tell 19 actually placed up there? Southbridge. Southbridge Power I think wore white 20 MS. GLINKA: Objection. You can answer 20 21 hats. if you know how it was placed up there. You mean how 21 22 Q. Like hard hats or -22 was it situated up there? 23 A. Yeah. 23 MR. SACHS: Yes. Did they have any insignia on them or 24 Q. 24 MS. GLINKA: Okay. Page 75 Page 77 1 anything? 1 MR. SACHS: Sorry. 2 They would say Southbridge Power on A. 2 MS. GLINKA: Yes. Go ahead. 3 them, I believe. 3 It was situated up there on these And did you ever see any person with the 4 threaded rods that were mounted into the ceiling 4 Southbridge Power hat flying pipe, as you said? 5 coming down with a piece of channel iron. They call 5 A. I've seen 'em up in the air inspecting. 6 it an H-beam that goes horizontal across, and then the 6 As far as flying, like I said, I know there was 7 pipe comes down and sits onto a T-brace they call it. 7 other - another company in there flying pipe. 8 8 Q. 9 Okay. And for the record when you say 9 And then that T-brace is sitting on that A. flying pipe, you mean installing pipe? 10 10 frame, that H-beam, A. Bringing it up in the air. Yes. 11 11 Q. Okay. 12 Installing it. Getting it ready. 12 And the pipe is welded to that T-brace. Okay. So it's your memory that Abington 13 13 But the pipe was just hanging in the air with a 14 didn't install all the pipe? 14 come-along, chainfall. 15 A. No. They didn't. 15 Q. Okay. 16 Do you know what percentage of piping, 16 Which there was pipes hanging all over A. again in between the generator room and the compressor 17 their chainfalls. room, the piping that goes from that room, from the 18 18 And do you know who had connected the generator room to the compressor room, do you know 19 pipes to the chainfalls or the come-alongs? 19 what percentage of piping Abington installed there? 20 20 No. I don't. 21 MS. GLINKA: Objection. You can answer. 21 All right. So was the pipe that was 22 Q. If you know. suspended by the chainfalls, was it in the position 22 23 I don't know. At this time, I don't. where you were to weld it, or did you have to move it 23 All right. Insofar as you said that you 24 to certain positions?

Case 4:04-cv-40054-FDS Document 26-4 Filed 10/17/2005 Page 6 of 12 Page 78 Page 80 1 No. It was in a position. It was We're talking about the Ts underneath --2 sitting on that T, and I had to weld that pipe to that 2 They might --3 T. MS. GLINKA: Wait a minute. Let him ask 3 4 Q. Okay. 4 his questions. The T was already up there tacked to the 5 5 We were talking earlier about the Ts, O. H-beam. The H-beam was going this way (indicating). 6 б the T-braces, on top of that H-beam. 7 You got a little T sitting here, they put a tack on 7 A. Mm-hmm. this side, a tack on this side of the T to hold the T 8 8 In terms of what's depicted in this in place, then they put the pipe on the T. And my job photo, the top photo of Exhibit 2, is this depicting 9 was to go up there and weld that pipe to the top of 10 the compressor room that you talked about before? 10 the T on both sides and then finish welding the bottom That is the compressor room. Yes, I 11 12 of the T onto the H-beam. 12 believe. 13 With regard to the pictures that we have 13 Okay. And in Exhibit 2 there's a hole Q. here, let's first look at this group. Looking at this it seems in the wall where the pipe is passing 14 group to the left in front of you, do any of those 15 15 through? photos depict what you're talking about, the T or 16 A. Mm-hmm. 17 anything? 17 Is that the hole that you were talking Q. 18 Yes. The T would be right here 18 about before? 19 (indicating). Here's the H-beam going across 19 Α. Yes. 20 (indicating). Okay. And looking at Exhibit 2, do you 20 21 Okay. Q. know if any of these pipes that are depicted are any 22 A. Okay. And I did say there was threaded pipes that you welded in place? 22 23 rod holding this H-beam, but this has been finished 23 A. Yes. since. So they were going up with a regular I-beam 24 Okay. And looking at Exhibit 2, which Q. Page 81 and probably secured that right to the ceiling; but at pipe or pipes do you think you welded in place? first there was just threaded rod. This was up there 2 2 I think it was this one that come down 3 temporary. 3 on me (indicating). 4 Q. Okay. 4 Okay. In the far right side of the Q. 5 Okay. But the Ts are right here Α. 5 picture? underneath the pipe sitting on that H-beam. There's a 6 A. I think so. 7 little T, piece of angle iron made like a T that sits 7 Okay. You think that's the actual pipe Q. on top of this H-beam; and then the pipe sits down on 8 that was involved in the accident? 8 9 top of the T and you weld both sides of the pipe to 9 A, Yes. 10 the top of the T and then both sides of that T to the Okay. In terms of the H-beam that you 10 11 bottom to that H-beam. So the Ts are right underneath 11 described where the -- which is the gray piece -each pipe. 12 12 A. Right. 13 Q. Okay. And I'll mark the copies that I 13 -- how were the pipes suspended -- I Q. 14 have. know you said they were on chainfalls at the time, but 15 A. These are the generator room, right where were the chainfalls located in regard to the 16 here. 16 H-beam? 17 MS. GLINKA: We'll get to that. 17 They were wrapped around the pipe here, 18 MR. SACHS: We'll get there. the chain, which is a no-no, too. It should have been 18 19 Can we mark this as two. a strap on that and then the chainfall hooked to the

strap. But instead they were wrapping the chains

the snap, and that's not the way you do it.

24 and they were extended up onto the ceiling from an

around the pipe rehooking to the chain with the end of

But they were wrapped around that pipe,

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(Exhibit 2 marked

for identification)

All right. I'll show you this, what we

marked as Exhibit 2. I'm referring to the top photo.

BY MR. SACHS:

Case 4:04-cv-40054-FDS Document	26-4 Filed 10/17/2005 Page 7 of 12
i	Page 84
1 existing beam that was up there or whatever and jac	ked 1 (Eyhihit 2 mades 4
2 on diac.	/
Q. All right. So do you know who hooked	i voi identificationi
une pipe up to the chainfall in the first place?	ST MAC BACHS:
5 A. No. I don't.	Y. All light. In looking at Exhibit 3
6 Q. Okay. So, as far as you know, you	ivir. Richards, in looking at Exhibit 3, can you see o
7 showed up for work, the pipe was already up near the	dentity in that photo where the chainfull was to see
8 H-beam on the chainfall?	at the time of the incident?
9 A. Yes.	8 A. I think it was right in front of the
10 Q. Okay. The day of the accident, was that	7 In-beam right here going up hooked to whatever a see
11 the first day that you had been welding any pipes up	To dicte to mook off from up on the ceiling
12 in that area?	Q. Okay. So you're pointing to in tarms
1.3 A. Up in that area, yes.	1 - 110W, let's just for the record make it class
Q. Okay. Other than the pipes up in that	1 3 When were talking about a chainfall the chair 5-11:
15 area strike that	it a puncy system?
Julio ant.	15 A. Yes.
Where else had you been welding in the compressor room?	Q. Okay. And was the top of the chainfall,
	17 that is where the pulleys were housed, were they
Visition Indianoc I can show it to you	18 attached to a beam that was higher than where the
another picture.	19 pipes were in place?
(1 4450)	20 A. They were attached to a beam. Either a
TO THE PARTY OF TH	21 beam or there might have been a — they might have h
Volume Product Tooling William Delieve it is down order	22 to drill and mount something up there to hook it to.
and fort where all those little till tell	23 I'm not really sure but there could have been an old
24 you those little pumps and valves were, I was doing	24 existing beam or they drilled and mounted a plate up
Page 83	Dans 25
1 some small nines down here like the start in	Page 85
pipos down neit. like intee inches and	1 there.
inde orderes and stuff like that.	Q. And with regard to the H-beam that
1 1130) I Walled to Doll Oll is they may	appears to be housing all those pipes, is that -
Throca	4 looking at it in the photo that's Exhibit 3, does that
inke I was telling you.	5 look as it did on the day of the incident, or is it
6 Q. Okay.	6 different?
7 A. Some people confirm that as a saddle	17
o instead of a T-brace. Some people use T-brace. Could	7 MS. GLINKA: Objection. Just the 8 H-beam?
oe used as a saddle, too.	
O Q. So the saddle, again, would it be	M. SACIS. 168.
something that is welded onto the H-iron?	MS. GLINKA: Okay. Objection. You can
A. The H-beam.	allowof.
Q. Okay. I'll show you another photo. See	i occ, some of the H-beams that they had
The you can identify that one.	and the coiling some start and the coiling some some souling
A. I believe this is the pipe right here.	The uns. They had temporary with threaded rod
Q. Okay. Does that depict the same H-heam	13 Q. Ukay,
as in Exhibit 2?	The not safe what was up there that day
8 A. Yes.	- ' V. Okay. II you look at actually
Q. The same group of pipes?	Exhibit 2, if you look at the base of the H-beam does
A. Yes,	The more started bolts?
Q. Some pass through the wall?	A. Those are U-bolts.
A Ves	Q. Okay. All right.
MR SACUS. Committee	A. That's a U-bolt going around a nine that
Come we just like this as	23 sucks it down to the saddle.
uiree.	24 Q. Okay.

Case 4:04-cv-40054-FDS Document 26-4 Filed 10/17/2005 Page 8 of 12 Page 102 Page 104 1 your torso and your arm? 1 Okay. How did the pipe move? A. I believe I was leaned right over that 2 2 A. Wish I knew. I think somebody stepped 3 vertical H-beam because there was, like I said, two on the other side - on the other side of the wall. feet between that pipe and this one. 4 They were throwing pipes up. Either that or they were 5 Q. Okay, up there putting instrumentation up or putting small 6 So I kind of laid over it with my arm A. electrical wires into that -- running new electrical like this (indicating) and going like this 7 7 down into the new generators. I don't know. 8 (indicating) But, as soon as I put my hood down and 9 All right. So then would that threaded 9 started welding, I wasn't five seconds and I felt the 10 rod have been behind you? pressure on my arm; and I was able to yank it out. 10 11 Yes. A. 11 So the pipe had to fall about six inches Q. All right. Got it. 12 12 to hit your arm? 13 Okay. So your position, as you just 13 A. Yeah. Came down off the T. Yeah. explained threaded rod is behind you, you have your 14 And did you -- at the time within the left arm on the horizontal H-beam. How close is your minutes before you were welding, did you hear anybody left arm from the point where you were welding? 16 16 on the other side of the pipes? 17 From the point where I was welding, 17 A. It was so hard to hear in there with 18 probably eight inches. machinery and stuff, was incredible. You know, 18 19 Okay. And at that point where was the Q. because we had - those compressors were running. 19 20 pipe? 20 Okay. And do you know how the pipes 21 A. Up on the T or the saddle. were attached on the other side of the wall through 21 22 So it was in place on the saddle? the void? 23 A. Mm-hmm. 23 A. Hanging on chainfalls. 24 Q. All right. 24 Okay. And, as you were positioned Q. Page 103 1 MS. GLINKA: T-brace? during the welding, to your right where did the pipe 2 THE WITNESS: Yes. go from there? Did it just end, hanging in the air? 2 3 BY MR. SACHS: 3 A. Where I was position to the right, it T-brace, saddle, whatever? 4 Q. 4 was going through the wall. 5 A. 5 MS. GLINKA: Objection. I think he 6 So your arm was eight inches away from 6 means after it fell off the T-brace. 7 the pipe that was sitting on the T-brace or saddle in 7 Q. No. In terms of --8 place? 8 Α. If I was looking at the pipe, like 9 A. Like the T-brace was probably six inches 9 there --10 high. 10 MS. GLINKA: Hold on. Wait for a 11 Q. Okay. 11 question. 12 So this would be the T-brace we'll say, Α. 12 Welding it would be going through the and my arm was like probably -- from that T-brace to 13 wall. my arm it was probably four inches maybe. But from 14 All right. In terms of the side that the pipe being six inches up on that T-brace, it was 15 wasn't going through the wall --16 probably -- be probably eight inches like this 16 A. Okay. 17 (indicating). 17 -- did it just -- was it like five feet Q. 18 Okay. All right. О. 18 away was the end of the pipe or what was there? 19 Was all of the weight of the pipe on the 19 Six, eight feet away that was the end of T-brace at the time, or was it still suspended by the 20 the pipe coming out this way (indicating). 20 21 chain? 21 Okay. And where was the chainfall on 22 I didn't touch the chainfall. I got 22 the pipe positioned? 23 told to go up there and weld. Don't touch nothing. 23 Right in back of me. Right towards the 24 Everything's in place. 24 wall.

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Q. Towards the hole in the wall?	
2 A. Yes.	1 rolled off that T, and so it went like this
3 Q. Okay. And how much slack was in the	2 (indicating). You know, what I'm saving?
4 chainfall at the time?	Even if this chainfall was some
5 A. Wasn't none.	1 - 2,000 poullds. Might have been a little
6 Q. What's that?	5 chainfall. There was enough for it to come down,
7 A. None.	6 my arm.
72. 140He.	r -
Q. Notice	Provided Pro
I TONG, NOU WALL COULD See animong I	1 ^ -
1 - Mean, it was snug. The chain was come become it	9 A. Because 18-inch pipe 20 feet long is 10 2,064 pounds or something.
1 - wash t hopping. You could tell if it was flooring	P delice of Botheumig.
122 If it would have been flopping. I would have jacket	Y. With didn't you way before that the sale
13 mysen.	d it   12 end of the pipe in the generator room was also on a
Q. What do you mean?	- Channair
A. I would have brought it up myself to get	100.
Let use slack out of it, but the chainfall was already.	15 Q. Okay. So wouldn't that have prevented
2 / Silug Decause they told me everything was all out	1 - on doing a seesaw motion?
1 - Just go up there and weld. You're all set Co I	MS. GLINKA: Objection.
19 didn't touch nothing because I figured they had it to	A. Not if somebody walked on it
20 specs and they wanted it right there.	Q. Okay. But you don't know if someone
21 Q. When you say they who is they? When	and the tribugh, right?
Q. When you say they, who is they? Who said go up there and weld that pipe?	MS. GLINKA: Objection Voy can answer
23 A Not sure if it was Tim D	1 A. I got told there was other name and
- " " " " " " " " " " " " " " " " " " "	there; but, as soon as this accident happened,
to the factor one of the foremen,	24 everybody scattered.
Page 107	Page 109
Q. Someone from Abington?	1 0 77
2 A. Yes.	Q. When you say you were told that other
Q. Do you know if it was someone from	people were up there, was that hefore or after the
Addington who had set the pipe in the position where	accident!
5 they wanted it welded?	A. After the accident.
6 MS. GLINKA: Objection.	5 Q. Okay. Who told you that there were
7 A. I don't believe it.	orner beobte up there?
8 Q. You don't believe it was?	A. Two or three different people.
9 A. No.	Q. Okay. Who, though?
Q. Do you know who it was?	A. I don't recall the names I remember
2 Journal of Mas	one guy saying, yeah, there was a counter when
TOTAL OF THE PROPERTY OF THE P	That's all I call tell you. I was in so much noise I
The state put in the control of the state of	12 just got out of there.
The world we did, all I knew what the crew did, and I	13 O. What time of the day was the
The and of	13 Q. What time of the day was the accident?  14 A. Early afternoon. Right after lunch.
P-P-0. That was iliallly when we started taking	15 Like two o'clock, I think.
a lot of the pipe work.	16 Q. What'd you have for lunch that day?
v. So if the chainfall was spile, how did	
p.po move:	17 A. Something off the gut truck.  18 Q. The gut truck?
Decade — It was snug out it was if	19 A. Yeah.
was on the I. Just hitting the T or the saddle	
Okay! And, I mean, it's snug. I mean put it this	Q. Was that one that pulls up to job site? A. Yeah.
way, when you're looking at it, it ain't all floored	1 0011,
Ckay? But that pipe weighs 2 000 nounds. So whater the	Y' AM BOURE to RIVE voil some documents I
happened at the other end to make these in the	and this one we can go off for a second
	(Discussion off the record)

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Page 1:	
MS. GLINKA: Kary, K-A-R-Y.	1 MS. GLINKA: Have you seen this record,
MR. SACHS: Oh, no. Actually -	2 though?
3 MS. GLINKA: Talking about a different	3 THE WITNESS: No.
4 doctor?	4 BY MR. SACHS:
5 MR. SACHS: I'm talking about	5 Q. All right. But insofar as looking at
6 Dr. C-O-U-R-Y. Dr. Timothy Coury.	6 the medical record, does it refresh your memory as to
MS. GLINKA: Can we see the record that	7 what the triggering event was for the knee pain?
8 you're referring to?	8 A. (Nods).
9 A. That's not spelled right. Coury. Not	9 Q. And what was it?
10 Coury. Kary.	10 MS. GLINKA: Yes.
MS. GLINKA: Well, this is a different	11 A. Washing the floors.
12 doctor, I think.	Q. Okay. And the record says you were down
A. Lewiston, Maine, Kary.	13 on your hands and knees washing the floors?
MS. GLINKA: Doesn't matter how it's	14 A. Mm-hmm.
15 spelled.	MS. GLINKA: The old-fashioned way.
16 BY MR. SACHS:	16 A. If you seen my floors, you'd know why.
Q. With regard to this record that your	117 O Were these the floors that you had
counsel just showed you, do you remember going to the	18 recently put the new tile down on?
19 hospital whatever Dr. Kary it was, however you spell	19 A. Yes.
20 it, with back pain in August of 2001?	20 Q. Okay. In terms of your left arm injury,
21 A. I believe so.	21 do you recall when you first had surgery for that?
Q. Do you remember what happened, like how	22 A. No. I don't.
the back pain came on?	Q. Okay. Would you agree with me that it
4 A. Not sure.	24 was before October of 2002?
Page 135	Page 137
Q. Okay. Do you remember going to the	1 A. Yes.
doctor in do you remember going to the doctor	2 Q. Okay. All right.
for - I'm not sure what doctor it was but for some	
sort of knee problem?	And as a result of this knee injury, you had to use crutches, right?
5 A. Yes.	5 A. Yes.
Q. Do you remember what that was all about?	l Programme de la companya del companya de la companya del companya de la company
A. Yes. I ended up with cellulitis.	6 Q. Okay. And how long did you use 7 crutches?
Q. Okay. And do you remember when you	]·
first well, strike that.	i i i i i i i i i i i i i i i i i i i
Do you remember about when that was,	<ul> <li>9 kind of like in bed for quite a while with the knee.</li> <li>10 Q. In bed for what?</li> </ul>
time frame was? If I suggested it was October of	11 A. Quite a while with the knee.
2 2002, does that refresh your memory at all?	
A. Possibility, yes.	4. 20 you recall what doctor you saw with
Q. Okay. And do you recall what you were	regard to your arm that eventually recommended the surgery?
doing at the time that you first noticed pain in your	= <del>-</del>
6 knee?	15 A. No. I don't. Not really. I went and 16 seen so many.
7 A. No.	· · · · · · · · · · · · · · · · · · ·
Q. Okay. I'll show you this record, ask	4. The right work togethers of Anni
you if you've seen that before?	memory of what doctor it was, do you have any memory of discussions with whatever doctors about the need
MS. GLINKA: If he's seen it before?	20 for surgery for your arm?
MD GLOTTO TO	
, (n)	21 A. I remember talking to Dr. Timoney about 22 it.
A 7	23 Q. Okay. And do you remember what that
0 01	24 discussion was? That is what did the doctor tell you
the second secon	Gibouston was: That is what did the doctor tell you

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about why you needed surgery and things like that?	1 carpal tunnel syndrome —
A. Well, not well, kind of. He	
3 explained to me what it would do is it makes the ner	-110.
a straighter shot by moving the nerve from the back	Ve 3 Q prior to the accident? A. No.
side, putting it over here. Gives it a straighter	11. 140,
Shot. Gives it more of a chance to try to come back	Z www.ickatu.it. volit eventual
Inat was	6 surgery, how many surgeries did you have? 7 A. Three.
8 Q. Okay. And in terms of the nerve that	
you're talking about, do you remember what the doctor	Y' Okuy. Aliu uu voii khow whether all ac
said was wrong with you in terms of the injury from	or 9 those surgeries were related to this crush injury?
1 the incident?	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
12 A. Crushed.	Y VMY DIU VIII DAVE anti office access
13 Q. Okay.	101 carpar turner syndrome or something?
14 A. Nerve was crushed.	110. I had carpal tunnel in this left
Q. The nerve was crushed?	same surgery.
16 A. Yes.	1 V. I'm sorry. Day that again.
17 Q. Okay. And do you recall any discussions	
18 with any doctors about carpal tunnel syndrome?	2 carpar tunner. That was my third surgery
19 A. Yes.	1 C V. Ukay. But you believe that was related
Q. And do you recall when you first were	+5 to the
21 diagnosed with carpal tunnel syndrome?	1 I WOIGHT.
MS. GLINKA: Objection. You can answer.	Q the accident?
A. Not really.	22 A. It was progressed or whatever you call
Q. Okay. But do you recall whether the	123 11.
Page 139	Q. Okay. In terms of surgeries, do you
1 carnal tunnel syndrome was in both	Page 141
carpal tunnel syndrome was in both arms? Do you recall that?	1 recall sitting here today when that first surgery was?
3 A. Yes.	A. Ulnar nerve transplant or something.
12. 103.	Q. No. The time frame when the first
Y. Onay, Allu up you know when you firet	4 surgery was.
and a rectain symptoms of carpai tunnel syndrome?	5 A. When?
This will would go to sleep like almost	6 MS. GLINKA: I think he said he doesn't
- voly ment.	7 remember.
A 12 1	8 A. I don't remember that.
	Q. All right. Bear with me. If I was to
e. o.m.j.	10 suggest to you that the first surgery was in June of
Think uids what they I think	11 2001, would that spark your memory at all?
what Dr. Inholley determined. He said maybe	12 A. Yes.
ual carpai tunnel has kicked up more in this arm	13 Q. Okay.
Bot Hutt.	14 A. I believe so.
ζ. Ο.m.y.	15 Q. All right. And after the first surgery,
On the right arm.	do you recall what your discharge instructions were,
Y' Do you locall, ulduph, previous to the	17 that is what you were told to do upon leaving the
accident whether you had already been diagnosed with	18 hospital?
carpar turnici syndrome?	19 A. Therapy three days a week.
A. I never really had any problems I	Thorapy unce days a week.
mean, I never could feel any problems really you	Q. And who was that therapy that you would travel for, go to the hospital?
know, basically, to be honest with ya.	22 A. Yes.
Q. But in terms of doctor visits and	1 2 00.
WIMING GO VOILTECAL AVER coals a service of the ser	Q. Where was that therapy? A. That was in actually, a new place in
The state of the s	· · · · · · · · · · · · · · · · ·

Page 154	Page 156
1 job or of the plant or	Page 156
2 Q. Okay. But in your answer it cave it's	1 be safe?
1 C. C. Dut in your answer it says it's	MS. GLINKA: Objection.
1 2 2 missistantiants dist nich wele nic benetal	A. I just think they just in my own
is that	4 opinion it should have been so many pipes put up and
1	5 finished and then some more put up to make it a safe
" " " " yes, it is, but I remember seeing	6 job.
Or Boundinge Fower names on the hate which	•
you know, they were - must have been engineers or	7 Q. All right. And, again, you don't know 8 who actually put the pipes up there?
whatever. So I figured they were the hig cheese of	9 MS. GLINKA: Objection Astrol
to the outht.	The Contract Objection Acres and
Q. Okay. And in terms of Southbridge, what	answered. We're not going to go repeating questions we've asked before.
1 2 Should have or could have Southbridge done that would	
13 have avoided this accident?	
MS. GLINKA: Objection. You can answer.	1 MA 4 MIGHOL UL ZUUM. [[[] V[]]] PPCQ [] STIANIAN
A. Finished hooking up a few pines before	2 Some people annuated with the insurance company the
16 they ran up ten more. You know, if there were three	1 23 were going to nelp you get a job?
or four up there hanging, they should continue, finish	16 A. Yes.
them pipes, get the chainfalls down and then rig up	17 Q. Okay. And do you recall the process
more and go from there.	1 to that you went through with those people? Did you as
Q. What's the basis for saying that	12 in for an interview of something?
21 Southbridge put the pines up there on the above to	20 A. I met with - I'd meet with - I can't
21 Southbridge put the pipes up there on the chainfalls? 22 A. I don't know.	21 remember his name now. He was a pretty nice only
- 1 Gont Know.	22 actually.
2. Olary, 60 H =	Q. I think there were a couple different
Dut it diey were tile engineers, theyre	24 people.
Page 155	Page 157
supposed to be you know, engineers are supposed to	1 A. Yes There was There
2 be - you know, I would think Southbridge has their	- " " " " " " " " " " " " " " " " " " "
own safety man, too. Should have went through and	and any both got terminated.
4 seen that and put a stop to it.	2. There's one - Rick McElvine?
5 Q. Well	
6 A. In my book.	Q. And the other person, Kathleen Wong,
Q. Well, when you went up there on the	does that ring a bell?
O SIMPING before you did on the stand of the standard of the s	7 A. Yes.
7 AND SAV Nev I'm not going and 4 O To 1	8 Q. Okay. And in terms of those two, was
0 that?	S Kick McElvine the first person you worked with?
1 MS GUNDAN ON 1	A. res.
2 A No Laidant	Q. And did you go in for a face-to-face
110. 1 0.011.	11 meeting with Mr. McElvine?
4 unsafe going up there?	3 A. Yes. I did.
5 MS GLINKA: Objection	Q. Okay. Was that before you actually went
Mac. Gentler. Objection.	5 to Florida for the training?
2 State take it but I tituli t really	6 A. Yes.
110.	
ξ. O	A Second of the
	A. Yes. He asked me what I wanted to do.
on the other side walking on my pipes. Which that had 20	vo. 110 doucti lift with I manifed to do
to be what happened because the pine didn't move on	The start of the s
is own.	
Q. Okay. So then what's your basis for	· · · · · · · · · · · · · · · · ·
saying that someone else should have thought it would 24	and on, jumping in them before for
The state of the s	Tomparios, not ochig an onerator inet imming in them.